

Docket No.  
7149-101XX

# Declaration and Power of Attorney For Patent Application

## English Language Declaration



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### METHOD AND APPARATUS FOR REDUCING STRESS

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on October 31, 2001 as United States Application No. or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

<u>0118342.5</u>	<u>UK</u>	<u>27 July 2001</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Billy A. Robbins, Reg. No. 18,313

Robert Berliner, Reg. No. 20,121

M. John Carson, Reg. No. 25,090

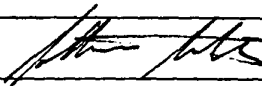
Margaret A. Churchill, Reg. No. 39,944

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Terri L. Sale, Reg. No. 45,066

Send Correspondence to: **M. John Carson, Esq.**  
**FULBRIGHT & JAWORSKI L.L.P.**  
 865 South Figueroa Street; 29th Floor  
 Los Angeles, CA 90017-2576

Direct Telephone Calls to: *(name and telephone number)*  
**M. John Carson (213) 892-9200**

Full name of sole or first inventor <b>Matthew Ashenden</b>	
Sole or first inventor's signature 	Date <b>15-Nov-01</b>
Residence <b>374 Church Road, Merton, London SW19 2QF ENGLAND</b>	
Citizenship <b>UK</b>	
Post Office Address <b>374 Church Road, Merton, London SW19 2QF ENGLAND</b>	

Full name of second inventor, if any	
Second inventor's signature	Date
Residence	
Citizenship	
Post Office Address	



mg/af

7149-101

APRIL 22, 2002

PTAS

Chief Financial Officer and Chief Administrative Officer  
Washington, DC 20231  
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FULBRIGHT & JAWORSKI L.L.P.  
M. JOHN CARSON  
865 SOUTH FIGUEROA, TWENTY-NINTH FLOOR  
LOS ANGELES, CA 90017-2571



\*101996189A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/15/2002

REEL/FRAME: 012606/0996

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ASHENDEN, MATTHEW

DOC DATE: 11/15/2001

ASSIGNEE:

BRAINWAVE LIMITED  
P.O. BOX 292, 17 ESPLANADE  
ST. HELIER, JERSEY JE4 8TJ, ENGLAND

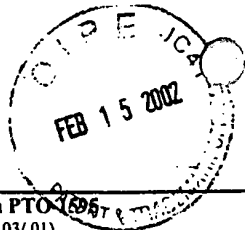
SERIAL NUMBER: 09999882

FILING DATE: 10/31/2001

PATENT NUMBER:

ISSUE DATE:

JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



02-28-2002



Form PTO-1057  
(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)

101996189  
**PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Matthew Ashenden

2-15-02

Additional name(s) of conveying party(ies) attached?

☐ Yes

☒ No

3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: November 15, 2001

2. Name and address of receiving party(ies)

Name: BRAINWAVE LIMITED

Internal Address:

Street Address:

P.O. Box 292  
17 Esplanade  
St. Helier

City: Jersey JE4 8TJ ENGLAND

State: Zip:

Additional name(s) & address(es) attached:

☐ Yes

☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the new application is:

A. Patent Application No.(s):

09/999.882

B. Patent No.(s):

Additional numbers attached?

☐ Yes

☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: M. John Carson  
FULBRIGHT & JAWORSKI L.L.P.

Atty. Dkt.: LA-7149-101.US / 10110732

Street Address: 865 South Figueroa  
Twenty-Ninth Floor

City:  
Los Angeles

State: Zip:  
CA 90017-2571

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41)

\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0337

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

M. John Carson  
Name of Person Signing

Signature

January 7, 2002  
Date

Total number of pages including cover sheet, attachments, and documents: 2

Recordation Form Cover Sheet

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: January 7, 2002

Signature:

Melinda E. Hallmark (Melinda E. Hallmark)

02/27/2002 ANNED1 00000138 500337 09999882

## Assignment of Rights in Invention (Sole inventor; single assignee)

**Docket No.**  
**7149-101XX**

Inventor	Residence of Inventor
<b>Matthew ASHENDEN</b>	<b>374 Church Road, Merton, London SW19 2QF ENGLAND</b>
Assignee	Residence or Principal Place of Business of Assignee
<b>BRAINWAVE LIMITED</b>	<b>P.O. Box 292 47 Esplanade St. Helier Jersey JE4 8TJ ENGLAND</b>

Whereas, I, the above-identified Inventor, have invented certain new and useful improvements in: **METHOD AND APPARATUS FOR REDUCING STRESS**

*(hereinafter referred to as "Invention") for which I am making application for Letters Patent in the United States of America;*

*And, whereas I desire to assign a 100% undivided interest in said Invention, said application disclosing the Invention and any Letters Patent which may be granted therefor to the above-identified Assignee, and whereas said Assignee is desirous of acquiring the entire right, title and interest in the same;*

*Now, this indenture witnesseth, that for the sum of* ten *dollars (\$*  
*10.00**), and other good and valuable consideration, the receipt whereof is hereby acknowledged;*

*I hereby assign, sell and transfer a 100% undivided interest in said invention, said application, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said Invention, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;*

*And I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Letters Patent to said Assignee, as assignee of the whole right, title and interest thereto;*

*And I further agree to execute all necessary and lawful future documents, including assignments in favor of Assignee, or its designees as Assignee or its Assignees may from time-to-time present to me in order to perfect title in said Invention, modifications, and improvements in said Invention, applications and Letters Patent of the United States and countries foreign thereto:*

**Assignment of Rights in Invention**  
(Sole inventor; single assignee)

Docket No.  
7149-101XX

Inventor

Residence of Inventor

Matthew ASHENDEN

374 Church Road, Merton,  
London SW19 2QF  
ENGLAND

Assignee

Residence or Principal Place of Business of Assignee

BRAINWAVE LIMITED

P.O. Box 292  
47 Esplanade  
St. Helier  
Jersey JE4 8TJ  
ENGLAND


*And I further agree to sign and properly execute such necessary and lawful papers for application for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee thereof shall hereafter require and prepare at its own expense.*

Executed this 15 day of Nov., in the year 2001

at

State of

County of

  
(Signature of Inventor)

Before me personally appeared **Matthew ASHENDEN**  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to  
execute the same this                      day of                      , in the year

\_\_\_\_\_  
(Notary Public)






**Assignment of Rights in Invention  
(Sole inventor; single assignee)**

Docket No.  
7149-101XX

Inventor	Residence of Inventor
Matthew ASHENDEN	374 Church Road, Merton, London SW19 2QF ENGLAND
Assignee	Residence or Principal Place of Business of Assignee
BRAINWAVE LIMITED	P.O. Box 292 47 Esplanade St. Helier Jersey JE4 8TJ ENGLAND

*And I further agree to sign and properly execute such necessary and lawful papers for application for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee thereof shall hereafter require and prepare at its own expense.*

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(Notary Public)